## RULES

OF

# TENNESSEE DEPARTMENT OF MENTAL HEALTH AND DEVELOPMENTAL DISABILITIES

11<sup>th</sup> Floor Andrew Johnson Tower 710 James Robertson Parkway Nashville, TN 37243

### OFFICE OF THE COMMISSIONER

Chapters	Title	
0940-1-1		
0940-1-2		
0940-1-3	Procedures for Prescription and Administration of Psychotropic Medications at	
0940-1-4	Developmental Centers and Mental Retardation Secure Facilities  Repealed	
0940-1-4	Repealed	
0940-1-6		
0940-1-7		
0940-1-8		
0940-1-9		
ADMINISTRATIVE SERVICES DIVISION		
0940-2-1		
0940-2-2		
0940-2-3		
0940-2-4		
MENTAL HEALTH SERVICES DIVISION		
0940-3-1		
0940-3-2		
	Supported Mental Health Institutes	
0940-3-3		
0940-3-4 0940-3-5		
0940-3-5		
0940-3-7	Severe Impairment	
0940-3-8		
0940-3-9		
0940-3-10		
MENTAL RETARDATION SERVICES DIVISION		
0940-4-1		
0940-4-2		
0940-4-3		
0940-4-4	Surrogate Decision Making for Individuals with	
	Mental Retardation or Mental Impairment Related to Developmental Disabilities	

#### OFFICE OF LICENSURE

0940-5-1	Definitions	
0940-5-2	Licensure Administration and Procedures	
0940-5-3		
0940-5-4	Life Safety Licensure Rules	
0940-5-5		
0940-5-6		
0940-5-7	Repealed	
through		
0940-5-13		
0940-5-14	Minimum Program Requirements for Mental Health Outpatient Facilities	
0940-5-15	Minimum Program Requirements for Mental Health Adult Day Treatment Services	
0940-5-16		
0940-5-17	Minimum Program Requirements for Mental Health Adult Residential Treatment Services	
0940-5-18	Minimum Program Requirements for Mental Health-Crisis Stabilization Unit Facilities	
0940-5-19	Minimum Program Requirements for Mental Health Supportive Living Facilities	
0940-5-20		
02.002	Adult Habilitation Day Facilities	
0940-5-21		
0740 3 21	Retardation Preschool Facilities	
0940-5-22		
0740-3-22	Diagnosis and Evaluation Facilities	
0940-5-23		
0940-3-23	Institutional Habilitation Facilities	
0940-5-24		
0940-3-24	Residential Habilitation Facilities	
0940-5-25		
0940-3-23	Boarding Home Facilities	
0940-5-26	Minimum Program Requirements for Mental Retardation	
0940-3-20	Placement Services Facilities	
0040 5 27	Minimum Program Requirements for Mental Retardation	
0940-5-27	Respite Care Services Facilities	
0940-5-28		
0940-3-26	Semi-Independent Living Facilities	
0940-5-29	Minimum Program Requirements for Mental Health Psychosocial	
0940-3-29	Rehabilitation Program Facilities	
0940-5-30		
0740-3-30	Treatment Program for Children and Adolescents Facilities	
0940-5-31		
0940-3-31	Nursery Program Facilities	
0940-5-32		
0940-3-32	Living Services Facilities	
0040 5 22		
0940-5-33		
0940-5-34		
0940-5-35		
0940-5-36	Repealed Repealed	
0940-5-37		
0940-5-38		
ALCOHOL AND DRUG ABUSE SERVICES DIVISION		
0040 54		
0940-6-1		
0940-6-2		

#### ADMINISTRATIVE HISTORY

Original Chapter 0940-1-1 was filed November 4, 1975; effective December 1, 1974. Original Chapter 0940-1-2 was filed April 7, 1974; effective May 17, 1974. Original Chapter 0940-1-3 was filed July 5, 1974; effective August 5, 1974. Original Chapter 940-1-4 was filed August 23, 1971; effective September 22, 1974. Amended: filed October 10, 1974; effective November 9, 1974. Original Chapter 0940-1-5 was filed August 9, 1974; effective September 7, 1974. Chapter 0904-1-6 and 0940-1-7 are reserved for future use. Chapter 0910-1-8 was filed October 7, 1974; effective November 16, 1974. The Administrative History following each rule gives the date on which the rule was certified and the date on which the rule was filed and its effective date, if promulgated after March 11, 1974. The Administrative History after each Rule also shows the date of any amendments or repeals.

Rule 0940-1-3-.04 Amended: Filed March 12, 1976; effective April 12, 1976.

Rules 0940-1-8-.02., 0940-1-8-.04, 0940-1-8-.08 Amended: Filed July 15, 1976, effective August 14, 1976.

Rule 0940-1-3-.04 Amended: Filed November 15, 1976; effective December 15, 1976.

Chapter 0940-1-4 Amended: Filed March 15, 1977; effective April 14, 1977.

Rule 0940-1-1-.07 Amended: Filed March 4, 1977; effective April 4, 1977.

Rules 0940-1-4-.01 through 0940-1-8-.08 Amended: Filed September 1, 1977; effective October 1, 1977.

Chapter 0940-1-2 Repealed: Filed November 14, 1977; effective December 14, 1977.

Rules 0940-1-4-.06, 0940-1-4-.07, and 0940-1-4-.08 Amended: Filed November 14, 1977; effective December 14, 1977.

Rules 0940-1-4-.02 through 0940-1-4-.05, 0940-1-4-.08, 0940-1-8-.02, 0940-1-8-.04 and 0940-1-8-.08 have been amended: Filed January 27, 1978; effective March 1, 1978.

Chapter 0940-1-4 Repealed May 31, 1978; effective July 1, 1978.

Chapters 0940-2-1 and 0940-4-2 Filed May 31, 1978; effective July 1, 1978.

Chapters 0940-1-1 and 0940-1-5 Repealed October 17, 1978; effective December 1, 1978.

Rules 0940-1-3-.01 through 0940-1-3-.05 Repealed October 17, 1978; effective December 1, 1978.

Chapters 0940-2-2, 0940-3-1 through 0940-3-3, and 0940-4-1 filed October 17, 1978. Effective December 1, 1978.

Chapters 0940-5-1 through 0940-5-4 Filed November 16, 1978; effective January 1, 1979.

Original Chapter 09-10-1-9 Filed November 22, 1978; effective January 8, 1979.

Rule 0940-4-1-.03 Amended: Filed February 1, 1979; effective March 17, 1979.

Rule 0940-1-3-.03 amended: Filed February 1, 1979; effective March 17, 1979.

Original rules 0940-5-3-.04 through 0940-5-3-.11 and amendment to rules 0940-5-1-.01 through 0940-5-1-.06, 0940-5-3-.01, 0940-5-3-.02, and 0940-5-3-.03 filed May 22, 1979; effective July 10, 1979.

Rule 0940-4-1-.05 amended: Filed July 2, 1979; effective August 16, 1979.

Rule 0940-2-1-.03 Amended and new rule filed October 14, 1980; effective December 1, 1980.

Amendments to rules 0940-5-1-.04, 0940-5-2-.01 and 0940-5-2-.02 filed December 8, 1980; effective January 22, 1981.

Original rules 0940-5-1-.07 through 0940-5-1-.14, 0940-5-2-.05 and 0940-5-2-.06 Field December 8, 1980; effective January 22, 1981.

Repeal of chapter 0940-1-8 filed April 29, 1981; effective June 18, 1981.

Original chapter 0940-6-1 filed April 29, 1981; effective June 18, 1981.

Original rules 0940-5-5-.01 through 0940-5-5-.04 filed November 25, 1981; effective January 20, 1982,

Original chapter 0940-3-4 filed September 27, 1982; effective October 27, 1982.

Original rules 0940-2-3-.01 through 0940-2-3-.05 and 0940-6-2-.01 through 0940-6-2-.06 filed February 3, 1983; effective March 7, 1983.

Original rule 0940-5-5-.05 filed March 16, 1983; effective April 18, 1983.

Amendments to rules 0940-5-1-.02 through 0940-5-1-.11 and 0940-5-1-.14, 0940-5-2-.01, 0940-5-2-.02, 0940-5-3-.01, 0940-5-3-.02 and 0940-5-5-.02 filed November 30, 1983; effective December 30, 1983.

Original rule 0940-5-4-.10 filed November 30, 1983; effective December 30, 1983.

Repeal of and new chapter 0940-6-1 filed May 25, 1984; effective June 24, 1984.

Amendments to rules 0940-2-1-.01, 0940-2-2-.01, 0940-2-2-.03, 0940-2-2-.07, 0940-2-2-.10, 0940-3-1-.01 through 0940-3-1-.06, 0940-3-3-.03, 0940-3-3-.05, 0940-3-3-.07 through 0940-3-3.09, 0940-4-1-.01 through 0940-4-1-.05, 0940-41-1-.06 and 0940-4-2-.01 by Public Chapter 969; effective July 1, 1984.

Repeal of chapter 0940-3-2 by Public Chapter 969, effective July 1, 1984.

Amendments to rules 0940-2-1-.01, 0940-2-2-.01, 0940-2-2-.03, 0940-2-2-.07, 0940-2-2-.10, 0940-3-1-.01 through 0940-3-1-.06, 0940-3-3-.03, 0940-3-3-.05, 0940-3-3-.07, 0940-3-3-.08, 0940-3-3-.09, 0940-4-1-.01, 0940-4-1-.02, 0940-4-1-.03, 0940-4-1-.05, 0940-4-1-.06 and 0940-4-2-.01 filed July 11, 1984; effective August 10, 1984.

Amendment to rule 0940-3-4-.01 and new chapters 0940-1-1, 0940-1-2 and 0940-1-3 filed January 9, 1985; effective February 8, 1985.

Repeal and new chapter 0940-6-1 filed September 6, 1985; effective October 10, 1985.

New chapter 0940-3-2 filed November 27, 1985; effective December 31, 1985.

Repeal of and new rules 0940-5-2-.02, 0940-5-3-.01 through 0940-5-3-.11 and original rules 0940-5-3-.12 through 0940-5-3-.14 filed May 28, 1986; effective July 1, 1986.

Repeal of and new rules 0940-5-4-.01 through .10, 0940-5-2-.02, 0940-5-3-.02 and 0940-5-5-.02 filed June 30, 1986; effective July 30, 1986.

Original rule 0940-2-4 filed October 2, 1986; effective November 16, 1986.

Amendment to rule 0940-5-3-.02 filed October 14, 1986; effective November 28, 1986.

Amendment to rule 0940-5-3-.01 filed October 17, 1986; effective December 1, 1986.

Amendments to rules 0940-5-5-.01 and 0940-5-5-.06 filed October 27, 1986; effective December 11, 1986.

Repeal of and new chapter 0940-6-1 filed December 17, 1986; effective January 31, 1987.

Amendments to rule 0940-5-2-.01 and new rule 0940-5-2-.07 filed March 11, 1987; effective April 25, 1987.

Amendments to rules 0940-5-5-.01 and 0940-5-5-.06 filed February 5, 1987; effective May 27, 1987.

Amendments to rules 0940-6-1-.01 and 0940-6-1-.02. Filed July 24, 1987; effective September 7, 1987.

Repeal of and new chapters 0940-5-1 through 0940-5-3 and 0940-5-5 and new chapters 0940-5-6 through 0940-5-28 filed May 26, 1988; effective July 11, 1988.

Original chapter 0940-3-5 filed February 3, 1989; effective March 23, 1989.

Amendment to rule 0940-3-5-.05 filed May 10, 1989; effective June 24, 1989.

Amendment to rules 0940-3-3-.01, 0940-3-3-.07 and 0940-3-3-.09 filed November 22, 1991; effective January 6, 1992.

Amendment to rule 0940-5-2-.04 filed February 12, 1992; effective March 28, 1992.

Amendment to rule 0940-5-2-.04 filed March 19, 1992; effective May 3, 1992.

Amendment to rule 0940-5-1-.06 and Original chapter 0940-5-29 filed July 20, 1993; effective October 3, 1993.

Amendment to rules 0940-3-2-.02, 0940-3-2-.11, 0940-3-3-.01, 0940-3-3-.07, 0940-3-3-.09 and 0940-3-4-.01 filed September 25, 1995; effective December 9, 1995.

Amendment to rules 0940-5-1-.07; original rule 0940-5-3-.23 and new chapter 0940-5-32 filed October 17, 1995; effective December 31, 1995.

Amendment to rules 0940-5-1-.06; original rules 0940-5-3-.24 and 0940-5-3-.25 and original chapters 0940-5-30 and 0940-5-31 filed January 11, 1996; effective March 26, 1996.

Amendment to rules 0940-5-3-.03 through 0940-5-3-.05, 0940-5-3-.11 through 0940-5-3-.14, 0940-5-3-.18, 0940-5-4-.02 and 0940-5-4-.10 filed March 19, 1996; effective June 2, 1996.

Amendment to rules 0940-6-1-.01 through 0940-6-1-.05 and 0940-6-1-.08 filed July 10, 1997; effective September 23, 1997.

Repeal of chapter 0940-6-2 filed August 7, 1997; effective October 27, 1997.

Original rule 0940-5-4-.11 filed March 1, 2002; effective May 15, 2002.

Repeal of rule 0940-3-4-.01, Original rules 0940-3-6-.01 through 0940-3-6-.20 and 0940-3-7-.01 through 0940-3-7-.06, and Amendment to rule 0940-5-2-.04 filed October 3, 2002; effective December 17, 2002.

Original rules 0940-5-33-.01 through 0940-5-33-.07 and 0940-5-34-.01 through 0940-5-34-.07 filed December 18, 2002; effective March 3, 2003.

Original rule 0940-5-29-.09 and amendment to rules 0940-5-15-.01 through 0940-5-15-.10, 0840-5-17-.01 through 0940-5-17-.13, and 0940-5-29-.01 through 0940-5-29-.08 filed December 30, 2002; effective March 15, 2003.

Repeal of rules 0940-5-7-.01 through 0940-5-7-.07, 0940-5-8-.01 through 0940-5-8-.10, 0940-5-9-.01 through 0940-5-9-.10, 0940-5-10-.01 through 0940-5-11-.01 through 0940-5-11-.11, 0940-5-12-.01 through 0940-5-12-.03, and 0940-5-13-.01 through 0940-5-13-.06 filed May 19, 2003; effective August 2, 2003.

Amendment to rules 0940-5-18-.01 through 0940-5-18-.10 filed September 4, 2003; effective November 18, 2003.

Original rules 0940-5-1-.15, 0940-5-3-.26, and 0940-5-36-.01 through 0940-5-36-.05 and amendment to rule 0940-5-1-.01 filed August 29, 2003; effective December 29, 2003.

Repeal of rules 0940-5-1-.02, 0940-5-1-.05, and 0940-5-3-.01 through 0940-5-3-.07 filed September 4, 2003; effective January 28, 2004.

Original rules 0940-3-8-.01 through 0940-3-8-.08 filed November 7, 2003; effective January 21, 2004.

Repeal and new rules 0940-5-24-.01 through 0940-5-24-.13 filed April 29, 2004; effective July 13, 2004.

Original rules 0940-3-10-.01 through 0940-3-10-.07 and 0940-5-37-.01 through 0940-5-37-.11 and repeal and new rules 0940-5-1-.01 through 0940-5-1-.05 filed June 29, 2004; effective September 12, 2004.

Original rules 0940-5-38-.01 through 0940-5-38-.07 and repeal of rules 0940-5-36-.01 through 0940-5-36-.05 filed July 21, 2004; effective November 26, 2004.

Public necessity rules 0940-4-3-.01 through 0940-4-3-.14 filed January 14, 2005; effective through June 28, 2005.

Original chapter 0940-4-4 filed March 29, 2005; effective June 12, 2005.

Public necessity rules 0940-4-3-.01 through 0940-4-3-.14 filed on January 14, 2005, expired on June 29, 2005; chapter reverted to reserved status.

Original chapter 0940-4-3 filed October 20, 2005; effective January 3, 2006.

Original chapter 0940-1-6 filed December 29, 2005; effective March 14, 2006.

Original chapter 0940-1-7 filed January 31, 2006; effective April 16, 2006.